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VIRTUAL REALITY UPPER EXTREMITY PARESIS

**Effectiveness of Virtual Reality- and Gaming-Based Interventions for Upper Extremity Rehabilitation Post-Stroke: A Meta-Analysis**

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**Conflicts of Interest**

We have no conflicts of interest to report.

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## **Effectiveness of Virtual Reality- and Gaming-Based Interventions for Upper Extremity Rehabilitation Post-Stroke: A Meta-Analysis**

### **ABSTRACT**

**Objective:** To investigate the efficacy of virtual reality (VR)- and gaming-based interventions for improving upper extremity function post-stroke, and to examine demographic and treatment-related factors that may moderate treatment response.

**Data Sources:** A comprehensive search was conducted within the PubMed, CINAHL/EBSCO, SCOPUS, Ovid MEDLINE and EMBASE databases for articles published between 2005 and 2019 (PROSPERO Registration number 95052).

**Study Selection:** Articles investigating gaming and VR methods of treatment for upper extremity weakness were collected with the following study inclusion criteria: 1) participants aged 18 or older with upper extremity deficits, 2) randomized controlled trials or prospective study design, 3) Downs-Black rating score of  $\geq 18$ , and 4) outcome measure was the Wolf Motor Functioning Test (WMFT), the Fugl-Meyer (FM) or the Action Research Arm Test (ARAT).

**Data Extraction:** Thirty-eight articles met inclusion criteria. The primary outcome was proportional improvement on the WMFT, FM, or ARAT. The following individual or treatment factors were extracted: VR/gaming dose, total treatment dose, chronicity ( $>$  or  $<$  6 months), severity of motor impairment, and presence of a gaming component.

**Data analysis:** Random effects meta-analysis models were utilized to quantify 1) the proportional recovery that occurs following VR/gaming, 2) the comparative treatment effect of

VR/gaming versus conventional physiotherapy, and 3) whether the benefit of virtual reality differed based on participant characteristics or elements of the treatment.

**Results:** On average, VR/gaming interventions produced an improvement of 28.5% of the maximal possible improvement. Dose and severity of motor impairment did not significantly influence rehabilitation outcomes. Treatment gains were significantly larger overall (10.8%) when the computerized training involved a gaming component versus just visual feedback.

VR/gaming interventions showed a significant treatment advantage (10.4%) over active control treatments.

**Conclusions:** Overall, VR/gaming-based upper extremity rehabilitation post-stroke appears to be more effective than conventional methods. Further in-depth study of variables impacting improvement, such as individual motor presentation, treatment dose, and the relationship between the two, are needed.

**Key Words:** stroke, virtual reality, upper extremity, paresis

**List of Abbreviations:**

ARAT	Action Research Arm Test
WMFT	Wolf Motor Functioning Test
ICC	Intraclass Correlation Coefficient
VR	Virtual Reality
MAd R	Meta-Analysis with Mean Differences

Post	Post-test score
Pre	Pre-test score
Max	Best possible score on measure
RCT	Randomized Controlled Trial
PROSPERO	International Prospective Register of Systematic Reviews

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1           Stroke has been identified as one of the leading causes of disability across the globe with  
2 estimates of over 17 million people experiencing a stroke each year worldwide and  
3 approximately 795,000 per year in the United States [1, 2, 3]. Additionally, mortality rates from  
4 stroke have decreased over the years due to improved medical interventions [4]. This has  
5 inevitably caused an increase in the number of people who now require targeted treatments for  
6 motor deficits that impact participation in everyday life activities [5, 6, 7, 8]. In addition, many  
7 individuals with stroke report several barriers to full engagement in rehabilitation, such as  
8 fatigue, low motivation, depression, lack of social support, and cost [9-11]. In the past two  
9 decades, researchers and clinicians have turned to virtual reality (VR) and gaming approaches to  
10 rehabilitation in an effort to address cost while increasing engagement [12].

11           VR for rehabilitation has been broadly defined over the past 2 decades of research and  
12 generally refers to the interaction of the user with a computer or mobile device simulation that  
13 “appears and feels similar to real-world objects and events” [13]. There are inherent differences  
14 between VR and conventional approaches. VR systems may delivery multi-sensory feedback  
15 (e.g., enhanced auditory and/or visual feedback) that is unavailable during traditional motor  
16 practice [14, 15], yet they oftentimes limit potentially beneficial tactile feedback because the  
17 person often acts on virtual objects [16-18]. Gaming-based VR interventions also inherently  
18 harness the concurrent benefits of task variability [19] and behaviorally-relevant training [20] by  
19 requiring a person to switch rapidly between motor movements in order to successfully navigate  
20 the virtual world. These differences warrant direct comparison of VR and conventional training  
21 approaches.

22           The level of interaction and immersion varies across VR systems. Some VR systems  
23 include a game element that has challenges, has an overall theme/objective (e.g., defend the net),

24 and awards points for performance; others only include visual/auditory performance feedback  
25 during specific prompted exercises (e.g., shoulder abduction) [12]. Given the growing adoption  
26 of heterogeneous VR and gaming technologies for rehabilitation, it is important to establish how,  
27 and for whom, they can be most effectively applied in clinical practice. A recent meta-analysis of  
28 virtual reality interventions for stroke rehabilitation, shows initial promise of VR approaches  
29 [12]. Virtual reality-based and gaming-based interventions were shown to be approximately  
30 equally effective to other dose-matched modalities of upper extremity practice, and to provide a  
31 vehicle for further enhancing outcomes through additional practice [12]. Prior work emphatically  
32 states that more research is necessary and highlights heterogeneous findings between individual  
33 studies [12]. Person-specific factors (e.g., acute versus chronic hemiparesis, severity of  
34 hemiparesis, etc.) may account for some of the outcomes variability. Dosing may also play a  
35 role. Finally, elements of the training itself, for example the extent to which the system can  
36 engage an individual through gaming (versus only providing visual feedback), may influence  
37 outcomes. Accordingly, a recent initiative in the field of rehabilitation seeks to identify  
38 therapeutic “ingredients” that may moderate the effectiveness of interventions that on the surface  
39 may appear similar (i.e., utilize VR technology), but may vary substantially in their  
40 implementation and individual therapeutic components [21].

41 A thorough 2017 meta-analysis by Laver and colleagues examined how some of the  
42 aforementioned factors individually influenced outcomes (age, chronicity, higher versus lower  
43 dose, custom vs consumer-based system)[12]. However, at the time of that review, there were  
44 relatively few randomized controlled trials to include in each comparison. Accordingly, though  
45 some trends were observed in their data, none of these factors was found to show a statistically  
46 significant effect on outcomes.

47 This meta-analysis seeks to expand upon the prior work of Laver and colleagues by  
48 examining treatment factors that may account for the varying efficacy of virtual reality  
49 rehabilitation. To this end, this work includes an updated search and broader inclusion criteria to  
50 allow inclusion of 38 (versus 22) upper extremity studies. It also seeks to quantify the  
51 effectiveness of VR rehabilitation using an outcome metric (observed improvement as a fraction  
52 of the maximum possible improvement) that is both easy for clinicians to understand and  
53 addresses known sources of analytic bias/ measurement error [22].

## 54 **METHODS**

### 55 **Design**

56 This review was a meta-analysis of studies to examine the effectiveness of VR- and game-based  
57 interventions for upper extremity hemiparesis post-stroke. It followed PRISMA collaboration  
58 recommendations and was registered with the International Prospective Register of Systematic  
59 Reviews (PROSPERO) #95052, accessible at [<https://www.crd.york.ac.uk/prospero/>].

### 60 **Identification and Selection of Studies**

61 The authors consulted with a professional librarian to review PubMed, CINAHL/EBSCO,  
62 SCOPUS, Ovid MEDLINE and EMBASE electronic databases for articles published from  
63 January of 2005 to May of 2019. The following search terms characterized the population:  
64 stroke, infarction, hemiparesis. The following keywords characterized the intervention: "upper  
65 extremity," arm, "upper limb", gaming, game, "virtual reality." The keyword "motor" was  
66 utilized to describe the outcome of interest. The full search strategy is detailed in Web  
67 Supplement 1. Articles were first screened for relevance via title/abstract review. Additional  
68 articles were identified by cross-referencing relevant review articles.



69 Inclusion criteria required that studies were prospective studies (including single group, cross-  
70 over, or randomized clinical/controlled trials) in stroke rehabilitation, were written in English,  
71 involved adult participants age 18 and up, had greater than 5 participants, were focused on upper  
72 limb rehabilitation exclusively, and used either the Wolf Motor Functioning Test (WMFT) [23],  
73 the Fugl-Meyer [24] or the Action Research Arm Test (ARAT) [25] as the primary outcome  
74 measure. For the purposes of this study, a stroke was defined as “rapidly developing clinical  
75 symptoms and/or signs of focal, and at times global, loss of cerebral function, with symptoms  
76 lasting more than 24 hours, with no apparent cause other than that of vascular origin” [26].

77 Author, institution, year of publication, and journal name were then masked for articles meeting  
78 inclusion criteria prior to determining whether they met exclusion criteria. Articles scoring below  
79 18/27 on the Downs-Black scale [27] were excluded outright due to overall poor quality (these  
80 failed to meet many of the reporting standards for clinical trials). Two independent reviewers  
81 utilized the Downs-Black rating scale [27] to assess each article's fitness for inclusion. When  
82 initial consensus was not reached between the two reviewers, the ratings were discussed amongst  
83 all co-authors until a consensus was reached. Intra-class correlation of initial ratings determined  
84 inter-rater reliability. Studies that focused the intervention on the lower limb, case reports with a  
85 sample size less than 6, or studies lacking the data elements necessary for meta-analysis (e.g.,  
86 pre-treatment and post-treatment means and standard deviations) were also excluded.

87

## 88 **Risk of Bias Assessment**

89 Table 1 shows risk of bias of each study extracted from Downs-Black rating scale items [27]  
90 pertaining to method of selection, randomization, blinding of outcome assessors, and intent-to-  
91 treat analysis.

92 **(Table 1)**

93

#### 94 **Data Extraction**

95 The intent of the authors was to utilize original study data for the meta-analysis. Authors of each  
96 original study were contacted via email and de-identified datasets were requested. The majority  
97 of the authors contacted did not respond and most that responded indicated that their dataset was  
98 not available. Therefore, it was necessary to utilize reported group averages to calculate a  
99 weighted treatment effect for each study. Proportional improvement was chosen as the dependent  
100 variable for the following reasons: 1) it has been used in systematic reviews of physical therapy  
101 interventions [28] to address ceiling effects [29] and eliminate a known source of analytic bias  
102 (greater overall improvement amongst those with greater impairment [30]), 2) it can reduce  
103 measurement error [31], 3) it enables comparing the magnitude of clinical change across studies  
104 that utilize different measures of motor function, 4) it is in keeping with prior work showing that  
105 the magnitude of clinical improvement is largely proportional to the initial deficit [32, 33], and  
106 5) it is intuitive to clinicians. Proportional improvement was calculated using Formula 1.

107

108 (1)  $\% \Delta = (\text{Post-Pre}) / (\text{Max-Pre})$

109

110 Post is the mean score of the post-tests within a treatment group, Pre is the mean score of the pre-  
111 tests within a treatment group and Max is the best possible score (or normal performance in the  
112 case of the WMFT) [23] on any of the three measures used. In the case of a negative treatment  
113 change (worsening), the percent change was extracted according to Formula 2.

114

115 (2)  $\% \Delta = [\text{Post-Pre}] / \text{Pre}$

116 For the sensitivity analysis regarding whether the benefit of virtual reality differed based on  
117 participant characteristics or elements of the study protocol, the acute versus chronic status of the  
118 study population (< or > 6 months post-stroke), severity of motor impairment, mean hours of  
119 treatment, mean total hours of study-related intervention, and whether gaming was included in  
120 the intervention were extracted from each study. The information extracted is summarized in  
121 Table 2.

122

123 **(Table 2)**

124

## 125 **Data Analysis**

126 A random effects meta-analysis model was used for all analyses [34] using the MAd R package  
127 to explore heterogeneity in the effect of VR by study-level characteristics. As some of the studies  
128 included in this review were identified as prone to bias, these analyses were repeated after  
129 excluding articles that did not meet at least 3 of the methodological standards from Table 1.

130

## 131 **RESULTS**

### 132 **Flow of studies through the review**

133 A total of 58,323 articles were identified from the initial search. After removing duplicates and  
134 screening title, year, and abstract, 71 articles were assessed for eligibility using the Downs-Black  
135 rating scale [27] which resulted in 11 exclusions. From the remaining 60 articles, another 22  
136 were removed due to insufficient data for meta-analysis (e.g., missing means and/or standard  
137 deviations), leaving 38 articles for analysis (Figure 1). There was generally good agreement  
138 between the raters in overall score on the Downs-Black rating scale (ICC=0.775) amongst the  
139 included articles. The data extracted from the individual articles is presented in Table 3.

140 **(Figure 1)**

141

142 **(Table 3)**

143

### 144 **Treatment effects of VR/gaming interventions**

145 To facilitate inclusion of prospective studies, we initially examined the magnitude of  
146 improvement from the VR/gaming groups from all studies.[35-72] The estimated percent  
147 possible improvement across all studies was 28.45% (95% confidence interval of 24.40% to  
148 32.49%). Figure 2 presents a forest plot summarizing the effects. The estimated  $I^2$  value is  
149 38.60% and Q value is 63.51 ( $p=0.001$ ), reflecting significant heterogeneity between studies. A  
150 funnel plot is shown in Supplemental Figure 1.

151

152 **(Figure 2)**

153 **(Supplemental Figure 1)**

154

155 We explored if any of the following factors influenced response to VR/gaming interventions: 1)  
156 intervention type (gaming vs. feedback only), 2) VR/gaming dose, 3) total dose, 4) chronicity,  
157 and 5) stroke severity. Each comparison was considered separately and the results are presented  
158 in Table 4. Unsurprisingly, the percent possible improvement observed in chronic stroke studies  
159 was less than that found in acute stroke studies (difference = 12.82%,  $p = 0.003$ ). Virtual  
160 interventions that included gaming tended to be more effective than those delivering feedback  
161 only (difference = 10.82%,  $p = 0.003$ ).

162

163 **(Table 4)**

164

165 **Comparative treatment effects of VR/gaming interventions versus conventional**  
166 **rehabilitation**

167 To determine the comparative efficacy of VR/gaming interventions, and whether the  
168 comparative efficacy depended on any of the aforementioned characteristics, the 29 multi-arm  
169 studies with an active comparator were analyzed. The active comparator arm varied across  
170 studies. A few only stated “conventional/traditional occupational therapy” with no further  
171 description of activities included [49, 54, 65]. Some included a comparator treatment based on  
172 task-oriented rehabilitation or task-related practice theories [35, 39, 42]. Most studies included a

173 description of “traditional rehabilitation” that was based on recovery models such as Bobath and  
174 Neurodevelopmental Treatment (NDT) and included a variety of stretching, strengthening, and  
175 ADL-focused activities [42, 43, 46, 51, 52, 55, 56, 60, 61, 67, 70]. Overall, VR/gaming  
176 interventions produced larger proportional recovery than conventional rehabilitation (difference  
177 = 10.4%, confidence interval: 5.65%-15.14%). The estimated  $I^2$  value is 0.00% and the Q value  
178 is 23.17 ( $p=0.534$ ; Supplemental Figure 2). Figure 3 shows a forest plot summarizing the  
179 comparative effectiveness of VR/gaming to conventional rehabilitation. Again, we examined  
180 whether heterogeneity in comparative treatment effects could be explained by any of the  
181 following factors: 1) intervention type (gaming vs. visual feedback only), 2) VR/gaming dose, 3)  
182 total dose, 4) stroke severity, and 5) chronicity. None of the above treatment variables  
183 significantly influenced the *comparative* treatment effect (Table 5).

184

185 **(Figure 3)**

186

187 **(Table 5)**

188

189 **(Supplemental Figure 2)**

190

191 **Influence of bias**

192 When excluding articles with a higher risk of bias, the treatment effect sizes were similar. This  
193 suggests that the results of this meta-analysis are unlikely to be influenced by study bias (Web  
194 Supplement 2- Supplemental Tables 1 and 2 and Supplemental Figures 3-6).

#### 195 **Analysis of Follow-up Data**

196 Follow-up data was not included in the meta-analysis because it was only recorded in half of the  
197 studies and there was substantial heterogeneity in the follow-up intervention (2 to 26 weeks)  
198 amongst those studies. When examined descriptively, the 19 studies with follow-up data showed  
199 complete retention of most treatment gains obtained via VR rehabilitation (median increase in  
200 proportional recovery of 3.63% over the follow-up period with a range of -14.28% to 23.45%).

201

#### 202 **DISCUSSION**

203 The objective of this meta-analysis was to determine the impact of gaming and virtual reality-  
204 based interventions for improving upper extremity function post-stroke. The observed percent  
205 possible improvement for the VR/gaming groups across all studies was almost 29%, which is  
206 consistent with other upper extremity studies [73, 74]. Studies within both the acute and chronic  
207 stroke population showed a positive effect of VR/gaming rehabilitation on motor function, with  
208 significantly greater motor recovery occurring in acute/sub-acute (<6 month) stroke studies, a  
209 time period in which rehabilitation-induced improvements co-occur with spontaneous recovery.  
210 It is well established that the majority of motor recovery occurs within the first few months (i.e.,  
211 3 to 6 months) [75-78]. However, this meta-analysis shows that substantial recovery is still  
212 possible within the chronic phase post-stroke (Figure 2) and is typically well-maintained over  
213 time. Cauraugh and Summers [79] have attributed continued recovery of upper extremity motor

214 functioning in chronic stroke patients to neuroplasticity. Specifically, these authors suggest that  
215 a) “motor neuron disinhibition” allows for use of spared pathways of the damaged region, b)  
216 ipsilateral pathways begin to be utilized and c) “upregulation of descending premotorneuron  
217 commands onto propriospinal neurons” play a large role in recovery with chronic stroke patients  
218 [79].

219

220 When VR/gaming interventions were compared to conventional rehabilitation approaches, the  
221 literature significantly favored VR/gaming. The comparative treatment effect was small, with the  
222 observed difference in percent possible change between VR/gaming and conventional treatment  
223 groups under 11%, which is estimated at roughly half of the minimally clinically important  
224 difference for a typical participant on the outcome measures employed. The difference between  
225 VR/gaming and conventional treatment groups equates to 38% of the typical treatment response  
226 to VR/gaming. Recent studies [80, 81] have suggested that gaming interventions, in particular,  
227 are superior to traditional treatment as they can increase motivation via goal orientation and  
228 extrinsic rewards. This idea is supported by our finding that gaming interventions produced  
229 significantly greater treatment effects than virtual reality interventions that lacked a gaming  
230 aspect. By eliminating the need for task set-up, gaming interventions also enable rapid  
231 alternation in task demands and complexity, a therapeutic factor that has been associated with  
232 superior retention of gains [82]. This amount of meaningful clinical change and long-term  
233 maintenance of clinical gains lends excellent support for VR/gaming-based approaches over  
234 conventional approaches in clinical settings (physical therapy, occupational therapy).  
235 Additionally, more repetitions of movement can be achieved. Although the literature remains  
236 equivocal as to whether higher intensity (repetitions per time) improves outcomes, [83, 84] it is



237 likely that some degree of repetition is necessary to facilitate motor learning, particularly when  
238 paired with implicit feedback (i.e., knowledge of results) [85]. Finally, it is possible that use of  
239 an avatar can prime the motor system to respond more favorably to motor training, similar to  
240 mirror training or action-observation therapies [86-88]. In sum, VR gaming is a viable, cost-  
241 efficient rehabilitation option that conveys some slight advantages over more conventional  
242 approaches.

243  
244 Contrary to expectation, interventions incorporating higher doses did not yield significantly  
245 greater treatment effects on average. It should be noted that the absence of studies involving very  
246 high doses might produce a restricted-range challenge for this analysis. With regard to dose,  
247 recent meta-analyses of the effect of constraint-induced movement therapy after stroke suggest  
248 that severity of the post-stroke symptoms often serves as a confounder when studying the effects  
249 of dose [89]. Across interventions, the effects of dose on treatment outcomes have been mixed  
250 [84, 90-9581-85]. This inconsistency may be due to the markedly variable patterns of dose-  
251 response trajectories between individuals, with some showing a plateau in function prior to the  
252 end of treatment, while the majority showed positive improvement trajectories at the end of  
253 treatment of varying slope (suggesting that they would continue to benefit from different  
254 amounts of additional therapy) [95]. Thus, the effects of dose are likely multifactorial and further  
255 studies are required to allow for a better understanding of the circumstances under which  
256 increasing the dose conveys benefit.

257

258 **Study Limitations**

259 This meta-analysis has a few limitations. The authors reached out to the corresponding authors of  
260 the articles included in the study, and none volunteered raw data. Many of these studies were  
261 conducted outside of the United States which, at times, made communication difficult (e.g., e-  
262 mails did not go through due to foreign government prohibitions). Without raw data for analysis,  
263 the analysis was limited to what was reported in the original publications. As a result, the authors  
264 had to rely on summary statistics for analysis, and participant-level effects could not be  
265 examined. The methodological rigor of the majority of studies was low to moderate.  
266 Additionally, all the studies included within this article did not collect the same endpoints or  
267 outcomes. To address this constraint, percent possible improvement served as a common  
268 measure for inclusion of all studies in the meta-analysis.

269

## 270 **Conclusions**

271 Overall, gaming and VR methods of treatment are effective, closing on average 28.45% of the  
272 gap between baseline function and normal motor ability. VR/gaming interventions were more  
273 effective than active treatment controls, but the comparative treatment effect was small.

274 Interventions employing a gaming component are more effective overall than those that merely  
275 deliver feedback. Future research should involve large-scale randomized controlled studies with  
276 high methodological rigor [96] that examine both upper extremity motor function and impact on  
277 daily life (e.g., arm use, quality of life, participation). Further, the authors recommend utilizing  
278 the data-capture capabilities of these systems for longitudinal investigations that shed light on  
279 individual factors related to dose-response. Finally, we recommend future studies examine cost-  
280 effectiveness, the relationship between individual motor presentation, the treatment dose required

281 to attain maximum possible improvement, and methods for implementation of gaming/VR  
282 treatments.

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**Suppliers:**

- a. Meta-Analysis with Mean Differences (MAAd R; Version 0.8-2.1).
- b. Statistical Package for the Social Sciences (SPSS; Version 20).

**Figure Legends**

**Figure 1:** Flow chart of article selection and exclusion.

**Figure 2:** Forest plot summarizing the treatment change for the VR/gaming arms of each study.

The square icons represent the percent possible improvement estimates extracted from each study. Those with larger squares were weighted more strongly in the calculation of the overall treatment effect. Those located to the right of the vertical dashed line had larger treatment effects. The horizontal lines reflect the 95% confidence interval for the treatment change.

**Figure 3:** Forest plot summarizing the comparative effectiveness of VR/gaming versus conventional rehabilitation. The square icons represent the difference in percent possible improvement between the VR/gaming and control groups. Those located to the right of the vertical dashed line had larger treatment effects for the VR/gaming groups than the control group. Those with larger squares were weighted more strongly in the calculation of the overall treatment effect. The horizontal lines reflect the 95% confidence interval for the treatment change.

Table 1. Quality standards fulfillment and risk of bias for all articles included in the meta-analysis

Author	Year	Representative Sample	Random group assignment	Blinded outcome assessor	Intent-to-treat analysis
Adie et al.	2016	+	+	+	+
Ballester et al.	2016	+	+	+	-
Boone et al.*	2019	+	-	-	-
Borstad et al.	2018	+	-	+	+
Brunner et al.	2017	+	+	+	-
da Silva Cameirao et al.	2011	+	+	+	-
Chen et al.	2015	-	+	+	-
Choi et al.	2016	+	+	+	+
Colomer et al.*	2016	-	-	+	+
Combs et al.*	2012	-	-	-	+
Connelly et al.*	2010	+	-	+	-
Crosbie et al.	2012	+	+	+	-
Givon et al.	2016	-	+	+	+
Jang et al.	2005	-	+	+	+
Jo et al.*	2012	-	-	+	-
dos Santos Junior et al.	2019	+	+	+	-
Kiper et al.*	2011	-	-	+	+
Kiper et al.*	2014	-	-	-	+
Kipper et al.	2018	+	+	+	+
Kong et al.	2016	-	+	+	+
Kwon et al.*	2013	-	+	+	-
Lee et al.	2014	+	+	+	+
Levin et al.	2012	+	+	+	+
Oh et al.	2019	+	+	+	-
Park et al.	2016	-	+	+	+
Piron et al.	2009	-	+	+	+
Piron et al.	2010	-	+	+	+
Rand et al.	2016	+	+	+	+
Reinthal et al.*	2012	-	-	+	-
Saposnik et al.	2016	+	+	+	+
Shin et al.	2014	+	+	+	-
Shiri et al.*	2012	+	-	-	+
Sin et al.	2013	+	+	+	-
Thielbar et al.	2014	+	+	+	-
Tsoupiakova et al.*	2015	+	-	-	-
Turolla et al.*	2013	-	+	-	-
Wang et al.	2017	+	+	+	+
Weber et al.*	2019	-	-	-	-

+ : quality standard met    - : quality standard not met  
\*higher risk of bias ( $\geq 2/4$  standards not met)

Table 2

Relevant variables extracted from and calculated for each included study

Variable	Variable Type	Description
Severity	Dichotomous	
Mild		Fugl-Meyer Score > 40
Moderate		Fugl-Meyer Score <40
Chronicity	Categorical	
Acute/Subacute		< 6 months post-stroke
Chronic		> 6 months post-stroke
Mixed		Mixed chronicity
N	Continuous	Study sample size, all participants
nVR	Continuous	VR group sample size
VR Dose	Continuous-calculated	Total number of minutes of VR treatment
Total Dose	Continuous-calculated	The number of hours of treatment plus additional hours of other forms of therapy provided to the treatment group.
$\bar{x}_{preVR}$	Continuous	Mean of primary UE motor function outcome for VR group pre-treatment
SDpreVR	Continuous	VR treatment group standard deviation pre-treatment
$\bar{x}_{postVR}$	Continuous	Mean of primary UE motor function outcome for VR group post-treatment
SDpostVR	Continuous	VR treatment group standard deviation post-treatment
$\bar{x}\Delta VR$	Continuous-calculated	Mean change on primary UE motor function outcome (post-pre) for VR treatment group
nControl	Continuous	Control/Comparison group sample size
Dose-matching	Dichotomous	Was the control group treatment dosage matched to the VR group? (yes/no)
$\bar{x}_{preControl}$	Continuous	Mean of primary UE motor function outcome for control group pre-treatment
SDpreControl	Continuous	Control group standard deviation pre-treatment
$\bar{x}_{postControl}$	Continuous	Mean of primary UE motor function outcome for control

SDpostControl	Continuous	Control group standard deviation post-treatment
$\bar{x}\Delta$ Control	Continuous-calculated	Mean change on primary UE motor function outcome (post-pre) for Control group

Journal Pre-proof

Table 3: Summary of the characteristics of the studies included in the meta-analysis

Authors	Year	n	Chronicity	Gaming	Dose VR (hrs)	Mean (SD) VR T0	Mean (SD) Control T0	Mean (SD) VR Post	Mean (SD) Control Post	Total Dose (hrs)	% possible improvement
Adie et al. [35]	2017	117	Acute	Yes	31.5	41.2 (15.9)	41 (16.6)	47.6 (14.2)	50 (13.6)	42	41
Ballester et al. [36]	2016	12	Chronic	Yes	15	32.33 (16.09)	36.89 (12.29)	38.33 (17.30)	43.22 (12.6)	15	18
Boone et al. [37]	2019	10	Chronic	Yes	24	34.5 (10.6)		42.7 (10.4)		36	26
Borstad et al. [38]	2018	16	Chronic	Yes	30	22.4 (9.3)		28.1 (11.4)		135	38
Brunner et al. [39]	2017	112	Acute	Yes	16	25.8 (18.13)	24.2 (18.6)	37.7 (19.5)	38.14 (18.8)	16	38
da Silva Cameirao et al. [40]	2011	8	Acute	No	12	37.9 (12.1)	24.4 (11.4)	62 (30.8)	55.6 (22.1)	12	86
Chen et al. [41]	2015	24	Mixed*	Yes	10	37.1 (19.2)	27 (6.9)	52.1 (11.4)	27.94 (4.5)	11	52
Choi et al. [42]	2016	24	--*	Yes	5	24.5 (22.2)	21.5 (20.6)	43.58 (--)	21.73 (--)	10	45
Colomer et al. [43]	2016	30	Chronic	No	22.5	53.9 (15.7)		48.1 (15.7)		45	11
Combs et al. [44]	2012	9	Chronic	Yes	9	6.2 (54.8)		6.9 (42.8)		9	-15
Connelly et al. [45]	2010	14	Chronic	No	18	38.4 (4.5)		43.1 (4.6)		18	17
Crosbie et al. [46]	2012	18	Chronic	No	--	51.3 (8.2)	47.3 (18.1)	52.8 (6.9)	29.89 (18.9)	5.6	26
Givon et al. [47]	2016	24	Chronic	Yes	14.6	28.5 (23.2)	21.9 (22.4)	28.4 (23.1)	23.7 (24)	14.6	-0.35
Jang et al. [48]	2005	6	Chronic	Yes	30	51 (3.2)	52.6 (2.0)	58 (2.8)	55 (1.7)	20	47

Jo et al. [49]	2012	15	--*	Yes	20	40.1 (22.4)	44.5 (13.6)	36.4 (21.1)	42.8 (13.1)	20	10
dos Santos Junior et al. [50]	2019	11	Chronic	Yes	10.64	38.9 (23.2)	30.8 (	43.8 (23.7)	33.8 (24.7)	10.64	18
Kiper et al. [51]	2011	80	Chronic	No	20	39.1 (17)	44.8 (17.4)	48.9 (15.2)	46.4 (17.1)	20	36
Kiper et al. [52]	2014	23	Chronic	No	40	43.0 (14.7)	46.3 (17.5)	49.8 (12.5)	49.5 (16.2)	40	29
Kiper et al. [53]	2018	68	Chronic	No	20	37.99 (17.76)	43.15 (17.21)	47.71 (15.74)	46.29 (17.25)	40	35
Kong et al. [54]	2016	32	Acute	Yes	12	14.6 (12.6)	18 (14.4)	32.8 (18.2)	25.62 (17.8)	12	35
Kwon et al. [55]	2012	13	Acute	Yes	10	60.31 (5.41)	56.38 (10.83)	62.92 (3.45)	56.86 (4.54)	23.3	46
Lee et al. [56]	2014	12	Chronic	No	10	16.33 (8.33)	19.83 (10.63)	20.33 (9.94)	21.83 (11.27)	30	8
Levin et al. [57]	2012	6	Chronic	Yes	6.75	40.1 (13.6)	42.3 (13.6)	47.3 (11.9)	44.9 (11.7)	6.75	27
Oh et al. [58]	2019	17	Chronic	No	9	37.6 (	36.5 (17.8)	39.5 (15.1)	38.6 (18.5)	9	7
Park et al. [59]	2016	15	Chronic	Yes	10	49.3 (1.2)	48.9 (1.4)	54.4 (1.9)	53.1 (2.4)	10	30
Piron et al. [60]	2009	18	Chronic	No	30	48.5 (7.8)	47.3 (4.6)	53.6 (7.7)	49.5 (4.8)	20	29
Piron et al. [61]	2010	27	Chronic	No	20	42.4 (11.3)	43.9 (9.7)	49.7 (10.1)	46.5 (9.7)	20	30
Rand et al. [62]	2016	13	Chronic	Yes	30	32.1 (18.8)	43.8 (16.8)	36 (19.3)	46.1 (16.7)	18.8	16
Reinthal et al. [63]	2012	16	Mixed*	Yes	8.3	41 (39)		30 (39)		8.3	28
Saposnik et al. [64]	2016	59	Acute	Yes	10	91.9 (122.3)	68.4 (101.2)	64.1 (104)	39.8 (35.5)	10	32
Shin et al. [65]	2014	9	Acute	Yes	3.3	39.4 (10.7)	34.4 (12.4)	51.1 (7.8)	40.7 (9.8)	1.6	44

Shiri et al. [66]	2012	6	Chronic	No	7.5	35.5 (12.07)		43.17 (13.55)		7	25
Sin et al. [67]	2013	35	Chronic	Yes	9	26.06 (15.81)	32.29 (20.43)	47.72 (15.34)	34.59 (20.72)	18	54
Thielbar et al. [68]	2014	7	Chronic	Yes	18	48.7 (9.6)	41.9 (1.9)	50.4 (10.4)	43.6 (8.1)	18	10
Tsoupikova et al. [69]	2015	6	Chronic	Yes	18	40.7 (9.2)		41.7 (9.3)		18	4
Turolla et al. [70]	2013	263	Mixed*	No	20	41.7 (16.1)	41.1 (17.6)	48.2 (15.2)	44.1 (17.3)	40	27
Wang et al. [71]	2017	13	Acute	Yes	15	4.58 (0.80)	5 (0.87)	3.29 (0.82)	4.24 (0.57)	30	43
Weber et al. [72]	2019	10	Chronic	No	6	21.7 (8.68)		22.8 (9.19)			6

n = number of participants in the VR group; T0 = baseline; Post = post-treatment; Dose VR = number of hours participants were engaged in VR; \* not included in analysis of that variable; -- missing information; means and standard deviations are reported for the relevant outcome measure (Fugl-Meyer, Wolf Motor Function Test, Action Research Arm Test).

Table 4

Estimated effects and 95% confidence intervals of each study-level covariate on percent possible improvement from the random effects meta-regression on gaming/VR articles.

Effect	Estimate	95% CI	p-value
Gaming	10.82	(3.78, 17.86)	0.003*
Dose VR	-0.06	(-0.72, 0.59)	0.851
Dose Total	0.08	(-0.17, 0.33)	0.528
Chronic	-12.82	(-21.16, -4.47)	0.003*
Mild	3.23	(-4.95, 11.41)	0.439

\* $p < 0.05$

CI = confidence interval



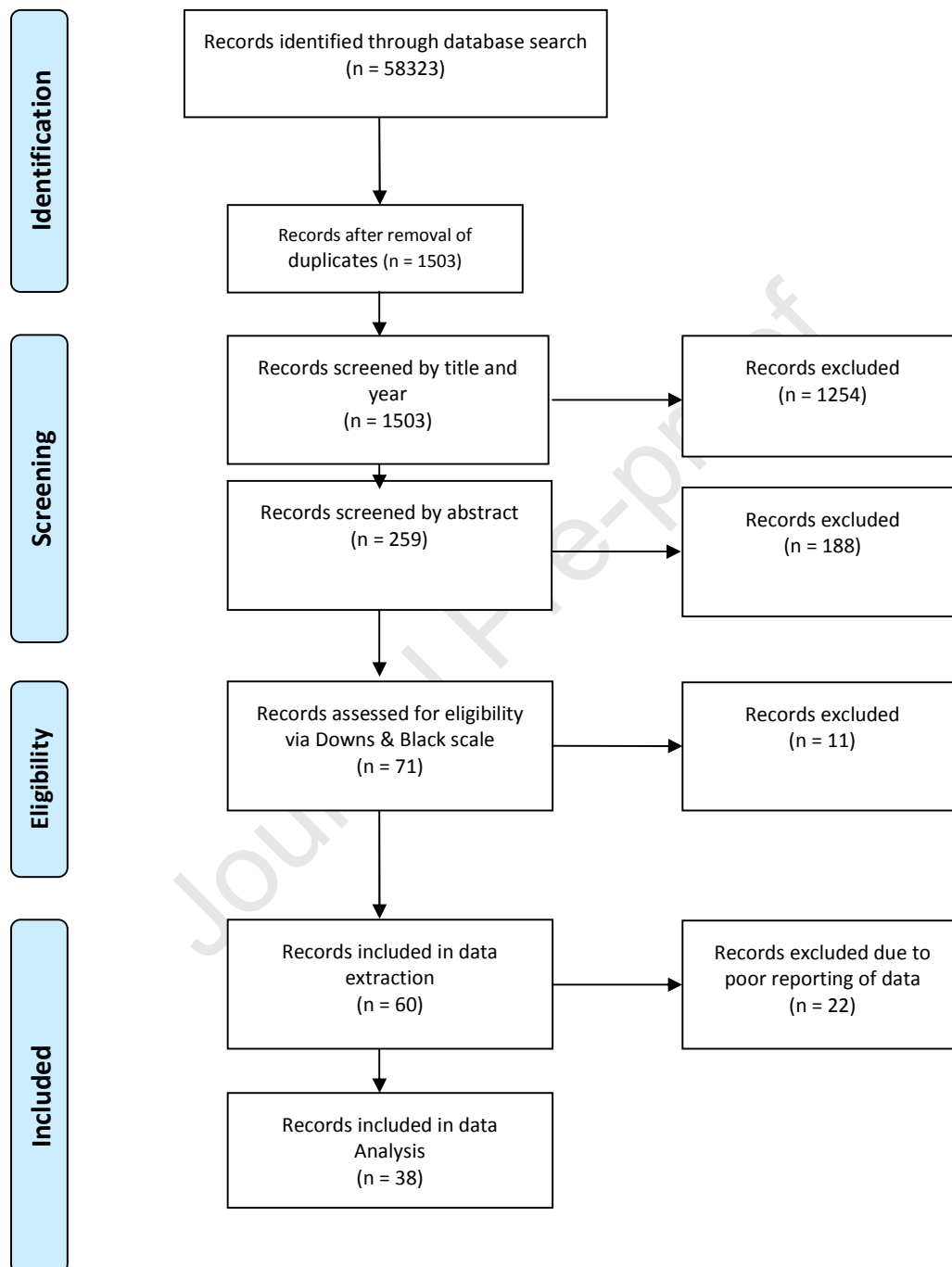
Table 5

Estimated effects and 95% confidence intervals of each study-level covariate on the effect of intervention on percent possible improvement from random effects meta-regression on the comparative treatment effects of VR/gaming groups v. control groups.

Effect	N	Estimate	95% CI	p-value
Gaming	28	-8.80	(-19.33, 1.72)	0.101
Dose VR	28	-0.26	(-1.07, 0.54)	0.522
Dose Total	28	0.12	(-0.29, 0.53)	0.574
Chronic	26	3.26	(-7.08, 13.61)	0.537
Mild	28	-0.52	(-10.29, 9.25)	0.917

CI = confidence interval

Figure 1



From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097

For more information, visit [www.prisma-statement.org](http://www.prisma-statement.org).

Figure 2

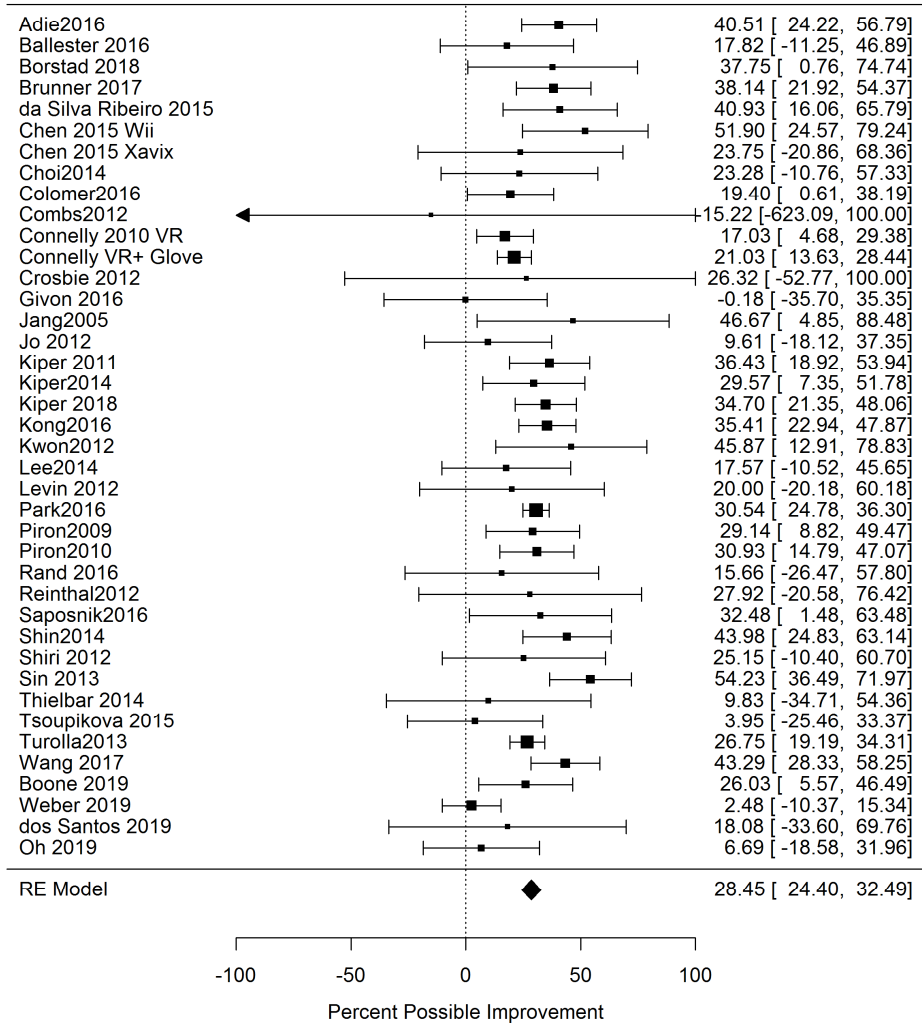
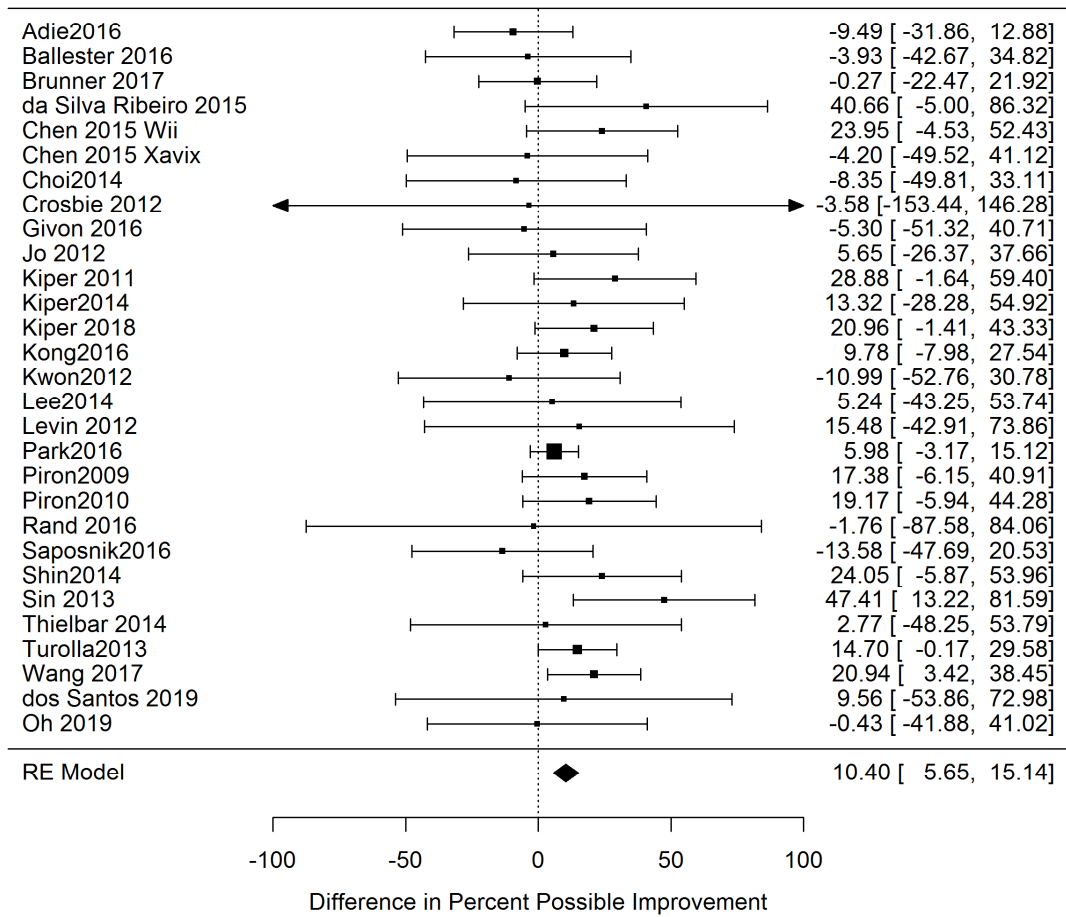


Figure 3



## Supplement 1: Search Strategy and Search Terms for Each Database Used in the Meta-Analysis

Database: Scopus

Date of Search: 04/30/2019

Number of Results Returned: 191

Search Terms and Strategy: ( TITLE-ABS-KEY ( "video game" OR "video games" OR gaming OR game OR games OR "virtual reality" OR wii OR "Nintendo Wii" OR "Wii based movement therapy" OR "virtual rehabilitation" ) AND TITLE-ABS-KEY ( stroke OR strokes OR infarction OR infarctions ) AND TITLE-ABS-KEY ( hemiparesis OR paresis ) AND TITLE-ABS-KEY ( "Upper extremity" OR "Upper limb" OR shoulder OR arm OR elbow OR forearm OR hand OR wrist OR fingers OR thumb ) ) AND PUBYEAR > 2004 AND PUBYEAR < 2020 AND ( LIMIT-TO ( LANGUAGE , "English" ) )

Database: Ovid MEDLINE

Date of Search: 04/30/2019

Number of Results Returned: 96

Search Terms and Strategy:

#	Searches
1	Video Games/
2	virtual reality/
3	(video game or video games or gaming or game or games or virtual reality or Wii or Nintendo Wii or Wii based movement therapy or virtual rehabilitation).mp. [mp=title, abstract, original title, name of substance word, subject heading word, floating sub-heading word, keyword heading word, organism supplementary concept word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier, synonyms]
4	or/1-3
5	exp Stroke/
6	Stroke Rehabilitation/
7	(Stroke or strokes or infarction or infarctions).mp. [mp=title, abstract, original title, name of substance word, subject heading word, floating sub-heading word, keyword heading word, organism supplementary concept word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier, synonyms]
8	or/5-7
9	Paresis/
10	(Hemiparesis or paresis).mp. [mp=title, abstract, original title, name of substance word, subject heading word, floating sub-heading word, keyword heading word, organism supplementary concept word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier, synonyms]
11	or/9-10
12	exp Upper Extremity/
13	(Upper extremity or Upper limb or Shoulder or Arm or Elbow or Forearm or Hand or Wrist or Fingers or Thumb).mp. [mp=title, abstract, original title, name of substance word, subject heading word, floating sub-heading word, keyword heading word, organism supplementary concept word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier, synonyms]

14	or/12-13
15	and/4,8,11,14
16	remove duplicates from 15
17	limit 16 to (english language and yr="2005 - 2019")

Journal Pre-proof

Database: CINAHL EBSCO

Date of Search: 04/30/2019

Number of Results Returned: 39

Search Terms and Strategy:

#	Query
S13	S3 AND S8 AND S9 AND S12
S12	S10 OR S11
S11	Upper extremity OR Upper limb OR Shoulder OR Arm OR Elbow OR Forearm OR Hand OR Wrist OR Fingers OR Thumb
S10	(MH "Upper Extremity") OR (MH "Arm") OR (MH "Axilla") OR (MH "Elbow") OR (MH "Forearm") OR (MH "Hand") OR (MH "Fingers") OR (MH "Wrist") OR (MH "Shoulder")
S9	Hemiparesis OR paresis
S8	S4 OR S5 OR S6 OR S7
S7	Stroke OR strokes OR infarction OR infarctions
S6	(MH "Stroke Patients")
S5	(MH "Infarction")
S4	(MH "Stroke") OR (MH "Stroke, Lacunar")
S3	S1 OR S2
S2	video game OR video games OR gaming OR game OR games OR virtual reality OR Wii OR Nintendo Wii OR Wii based movement therapy OR virtual rehabilitation
S1	(MH "Video Games") OR (MH "Exergames") OR (MH "Virtual Reality")



## **Web Supplement 2**

When repeating the same analyses after excluding articles at highest risk of bias, the effect sizes were similar. The analyses performed on just those studies at lower risk of bias are reported below.

### **Effects of bias on treatment effects of VR/gaming interventions for lower bias studies**

VR/gaming interventions produced an estimated improvement of 31.78% of the maximum possible improvement on tests of upper extremity function. The forest plot summarizing these effects is shown in Supplemental Figure 3.

The estimated  $I^2$  value is 39.72%, which means that approximately 40% of the variability is due to study heterogeneity. The Q value of 43.14 ( $p=0.019$ ) indicates significant heterogeneity (Supplemental Figure 4).

The estimated percent possible improvement for studies that included chronic participants was less than those that include acute participants only (difference = -9.64%,  $p=0.066$ ; Supplemental Table 1).

***Supplemental Table 1:*** Results of the sensitivity analysis examining how population- and intervention-related factors influence the overall treatment response to VR/gaming. “Estimate” refers to the regression coefficient of the linear model. For dichotomous variables (i.e., Gaming,

Chronic, Mild), “Estimate” reflects the difference between categories (e.g., chronic versus acute). “Difference” reflects the Difference in Estimates between the full sample and the lower bias studies. \* Indicates  $p < .05$  in the full analysis.

Effect	Estimate	95% CI	p-value
Gaming	12.88	(2.28, 23.48)	0.017*
Dose VR	0.24	(-0.54, 1.02)	0.550*
Dose Total	0.15	(-0.13, 0.43)	0.295
Chronic	-9.64	(-19.91, 0.64)	0.066*
Mild	0.83	(-10.56, 12.21)	0.887

### **Effects of bias on comparative treatment effects of VR/gaming interventions versus conventional rehabilitation for lower bias studies**

For this analysis, the subset of multi-arm studies with an active comparator that were rated at lower risk of bias was analyzed. Overall, the estimated effect of VR/gaming compared to conventional rehabilitation on percent possible improvement across all studies was 9.90%, with 95% confidence interval of (4.64%, 15.17%). A forest plot summarizing the effects is below (Supplemental Figure 5).

The estimated  $I^2$  value is 0.00%, which means that approximately 0% of the variability is due to study heterogeneity (Supplemental Figure 6).

As with the full sample, the comparative treatment effect did not appear to be strongly influenced by characteristics of the study participants or factors related to the intervention (Supplemental Table 2).

**Supplemental Table 2:** Results of the sensitivity analysis examining how population- and intervention-related factors influence the comparative efficacy of VR/gaming versus an active comparator intervention. Estimate refers to the regression coefficient of the linear model. For dichotomous variables (i.e., Gaming, Chronic, Mild), “Estimate” reflects the difference between categories (e.g., chronic versus acute). “Difference” reflects the Difference in Estimates between the full sample and the lower bias studies. \* Indicates  $p < .05$  in the full analysis.

Effect	Estimate	95% CI	p-value
Gaming	-9.72	(-23.99, 4.56)	0.182
Dose VR	-0.39	(-1.28, 0.49)	0.380
Dose	0.07	(-0.46, 0.59)	0.807
Total			
Chronic	1.91	(-8.77, 12.59)	0.726

Mild	-2.72	(-13.36, 7.92)	0.617
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**Figure Legends:**

**Supplemental Figure 1:** Funnel plot of the pre- to post-treatment response for the VR/gaming groups (n=36).

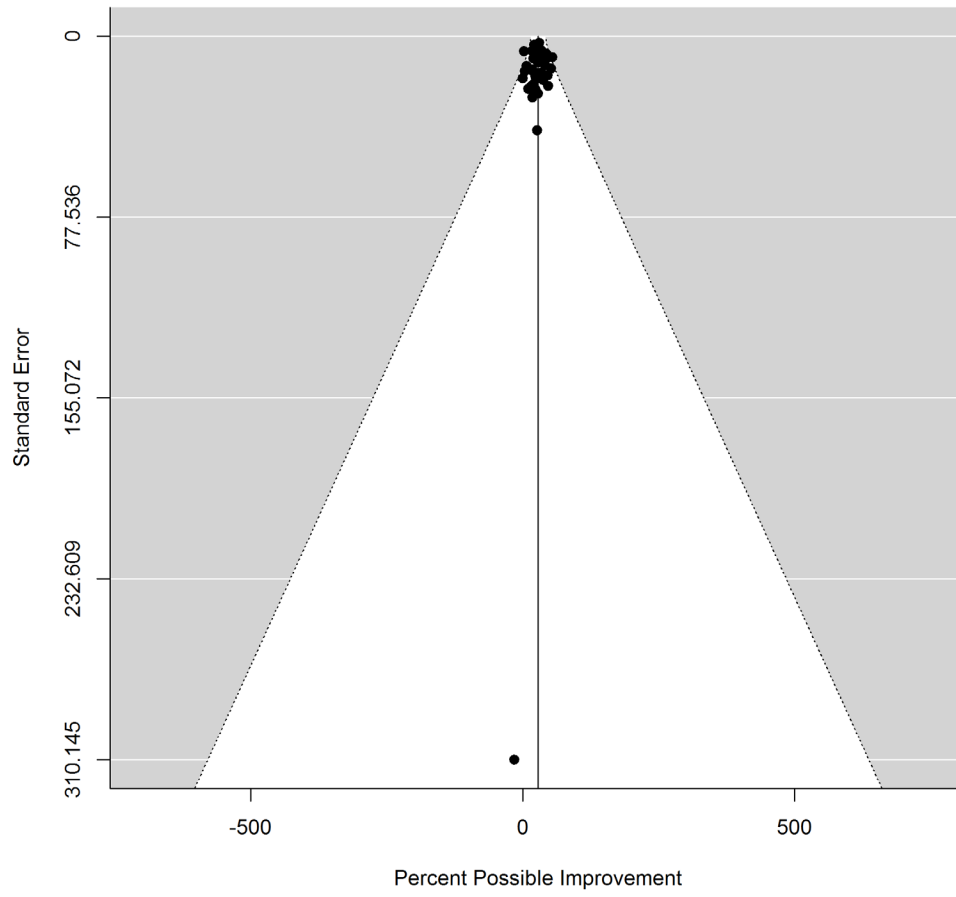
**Supplemental Figure 2:** Funnel plot of the comparative treatment effect (difference in the percent possible improvement between treatment and control groups) in the 27 studies with a control group.

**Supplemental Figure 3:** Forest plot summarizing the treatment response following VR/gaming interventions.

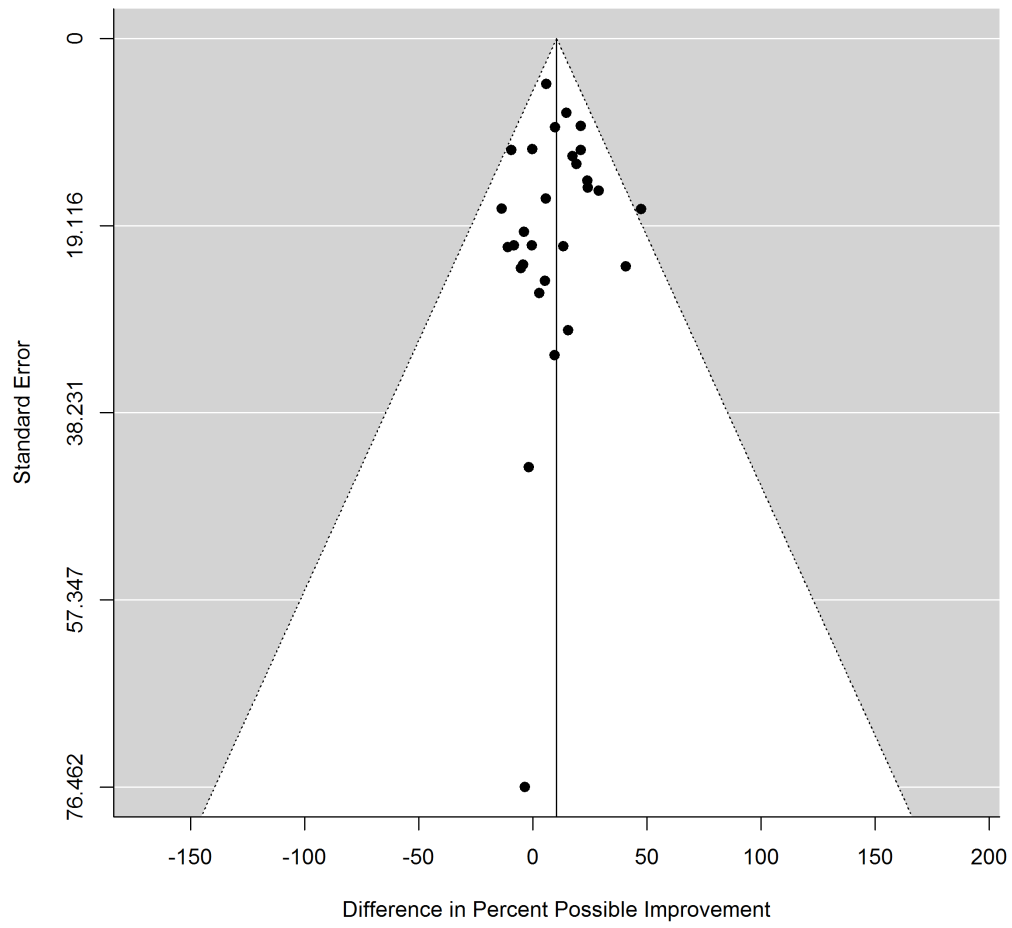
**Supplemental Figure 4:** Funnel plot of the effects of VR/gaming interventions on upper extremity function amongst studies at lowest risk of bias. The Y axis reflects the standard error, while the X axis reflects the mean percent possible improvement for each study.

**Supplemental Figure 5:** Forest plot of VR/gaming versus an active comparator for studies at lower risk of bias. Studies with larger squares are weighted higher in the overall estimate. The X-axis indicates the difference in percent possible improvement between VR/gaming and the active comparator. Squares to the right of 0 indicate that VR/gaming was more effective than the active comparator.

**Supplemental Figure 6:** Funnel plot of the comparative treatment effect amongst studies at lowest risk of bias. The Y axis reflects the standard error, while the X axis reflects the difference in mean percent possible improvement between VR/gaming and active comparator groups for each study.



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